



COMMUNITY BANKERS ASSOCIATION OF ILLINOIS

Webinar – On-Demand Web Link

Compliance Officer Training: Risk Assessments, Monitoring & Testing

Wednesday, September 26, 2018

2 - 3:30 p.m.

The FFIEC Consumer Compliance Rating System requires identification of risks related to financial institutions' products, services, and activities. This webinar examines the basic anatomy of a risk assessment and review common risk assessments. Once risks have been identified, you get tips and tools for monitoring and testing to ensure consumer compliance risks are uncovered, documented, and communicated. Participants learn how to stay current with the ever-changing regulatory environment and your institution's internal product and service changes. This webinar clarifies any ambiguity associated with risk assessments and provide the tools you need to succeed.

HIGHLIGHTS

- How compliance exam ratings are impacted by risk assessments, monitoring, and testing
- Anatomy of a risk assessment
- Overview of commonly used assessments
- How to develop a risk-based compliance monitoring schedule and reporting process
- Difference between monitoring and auditing

TAKE-AWAY TOOLKIT

- Compliance risk assessment
- Compliance review schedule
- Monitoring report
- Compliance review report
- Resources for staying abreast of regulatory changes
- Employee training log
- Quiz you can administer to measure staff learning and a separate answer key

DON'T MISS THIS RELATED WEBINAR!

Simplifying the Compliance Function: Tools & Checklists to Keep You on Track on Tuesday, February 6, 2018
(Availability extended until December 31, 2018).

Attendance verification for CE credits provided upon request.

WHO SHOULD ATTEND?

This informative session provides guidance on how to apply a risk-based compliance approach to identify compliance weaknesses. It benefits both new and seasoned compliance officers and risk managers.

ABOUT THE PRESENTER

Molly Stull, Brode Consulting Services, Inc., began her career as a teller while working on her undergraduate degree and has continued working in the financial industry ever since. She has experienced the growth of a hometown bank, acquisitions of branches, mergers, charter changes, name changes, etc. Stull has activated business resumption plans, performed secondary market quality control reviews, processed wires, filed SARs, and coordinated reviews with external auditors and examiners. Her favorite role has always been educating staff and strongly believes that if staff understands the reason for a process they are more compelled to follow the procedures. Stull holds a bachelor's from the University of Akron and an MBA from Ashland University.

THREE REGISTRATION OPTIONS

1. LIVE WEBINAR

The live webinar option allows you to have one telephone connection for the audio portion and one Internet connection (from a single computer terminal) to view online visuals as the presentation is delivered. You may have as many people as you like listen from your office speaker phone. Registrants receive a toll-free number and pass code that allow entrance to the seminar. The session is approximately 90 minutes, including question and answer sessions. Seminar materials, including instructions, PIN number, and handouts are emailed to you prior to the broadcast. You need the most-current version of Adobe Reader available free at www.adobe.com.

2. ON-DEMAND WEB LINK**

Can't attend the live webinar? The ON-DEMAND WEB LINK** is a recording of the live event including audio, visuals, and handouts. We even provide the presenter's email address so you may ask follow-up questions. Within five business days following the webinar, you are provided with a web link that can be viewed anytime for the next six months. This link expires six months after the live program date.

3. BOTH LIVE WEBINAR AND ON-DEMAND WEB LINK**

Includes options 1 and 2 above.

NEW! Listen to the on-demand web link on your iPad, iPhone, or Android. Instructions are emailed to you with the on-demand link.

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AFFORDABLE TRAINING, WHEN AND WHERE YOU CHOOSE.

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REGISTRATION FORM

Compliance Officer Training: Risk Assessments, Monitoring & Testing Telephone/Webcast
Wednesday, September 26, 2018 2 - 3:30 p.m.

Choose Your Training Option:

Purchase (Check one)	Training Options <i>(Registration includes one location hook-up)</i>	CBAI Member	Prospective-Member*
	Live Webinar	\$275	\$475
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	Special Pricing for Live Webinar & On-Demand Link	\$375	\$575

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